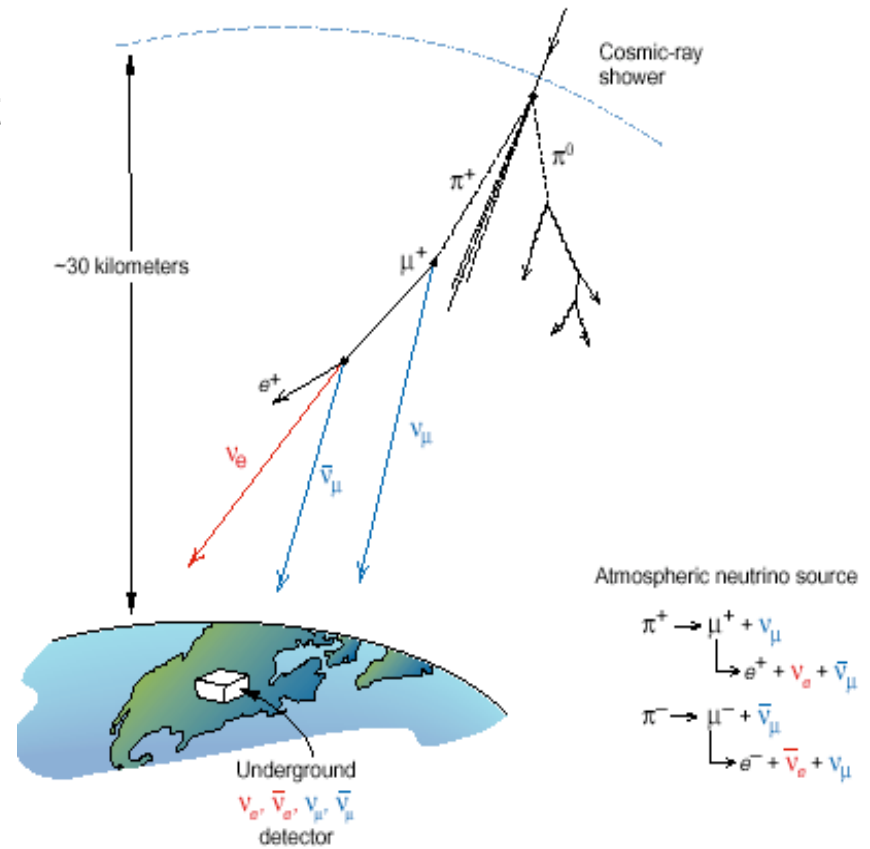
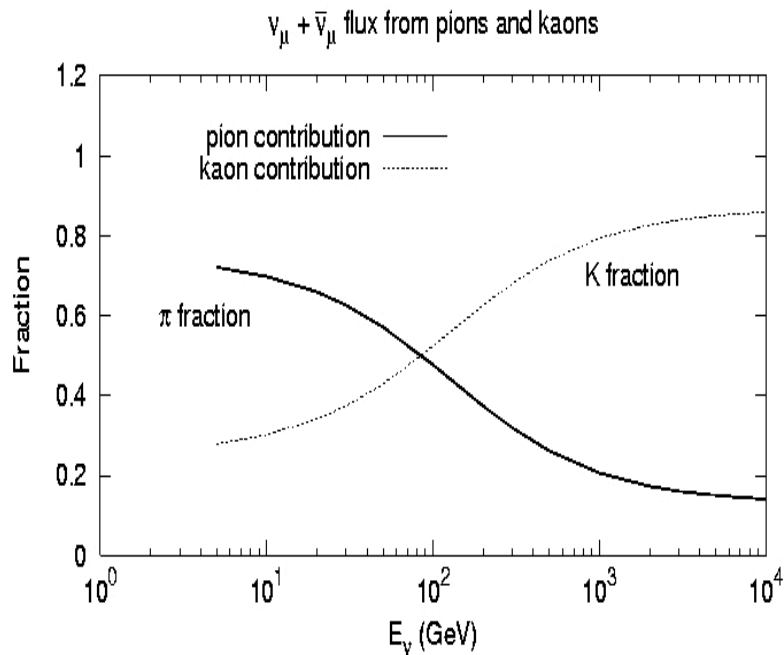


# ATMOSPHERIC NEUTRINOS

Large uncertainties ~20% on absolute normalization but useful well known quantities can be singled out



# Atmospheric $\nu$ events

(-)

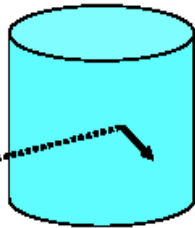


Super-Kamiokande response curves

FC

(fully contained)

Volume events  $\nu$

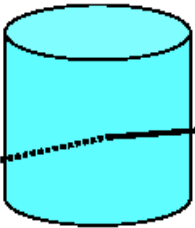


$\nu_e$  and  $\nu_\mu$   
 Sub-GeV:  $E_{\text{vis}} < 1.33 \text{ GeV}$   
 88%  $\text{CC}\nu_e$  96%  $\text{CC}\nu_\mu$   
 Multi-GeV:  $E_{\text{vis}} > 1.33 \text{ GeV}$   
 84%  $\text{CC}\nu_e$  99%  $\text{CC}\nu_\mu$

PC

(partially contained)

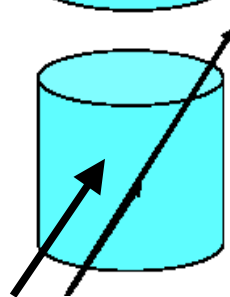
$\nu$



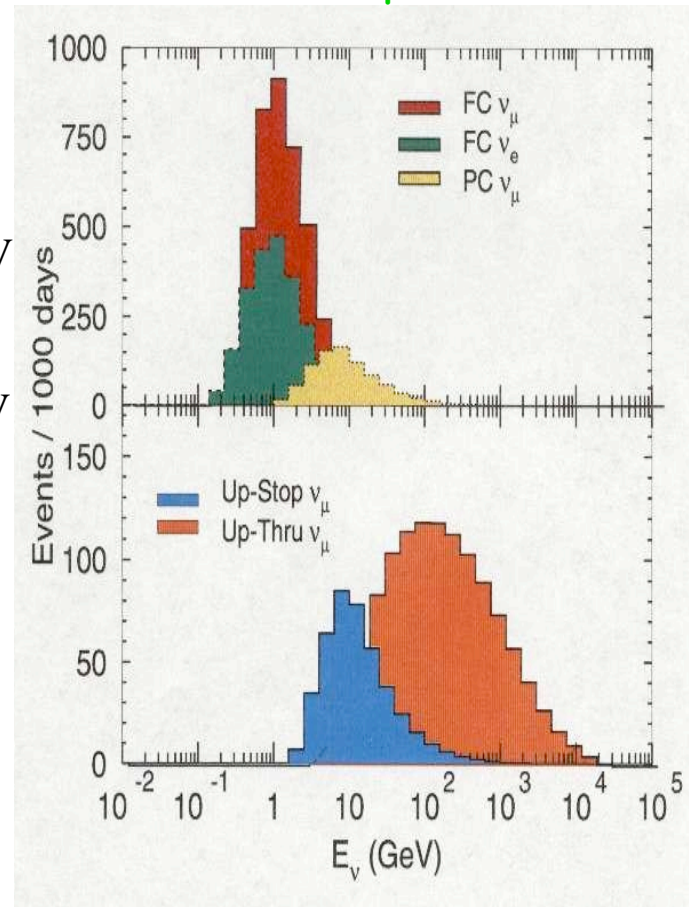
97%  $\nu_\mu$  CC  $\langle E_\nu \rangle \sim 10 \text{ GeV}$

Upward going muon

Surface events



$\nu_\mu$   
 $\langle E_\nu \rangle \sim 100 \text{ GeV}$   
 $\langle E_\nu \rangle \sim 10 \text{ GeV}$



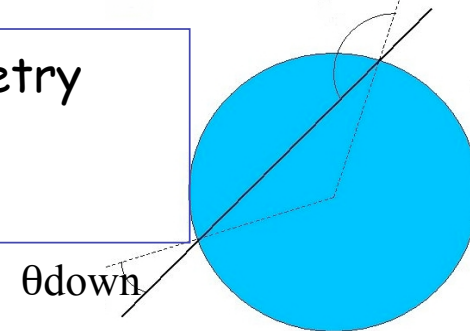
# Parameters useful for $\nu$ oscillation studies

- Flavor ratio  $\nu_e + 1/3 \text{anti-}\nu_e / \nu_\mu + 1/3 \text{anti-}\nu_\mu$  For  $E_\nu < 30 \text{ GeV} \sim 5\%$
- Up/down asymmetry
- Full angular distribution

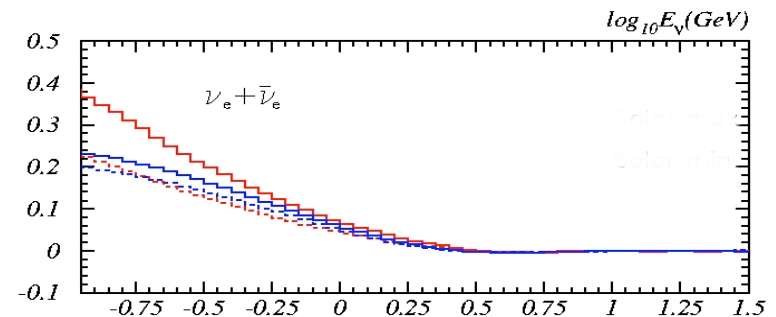
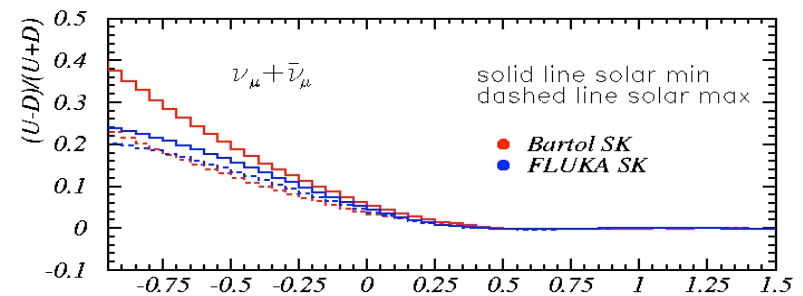
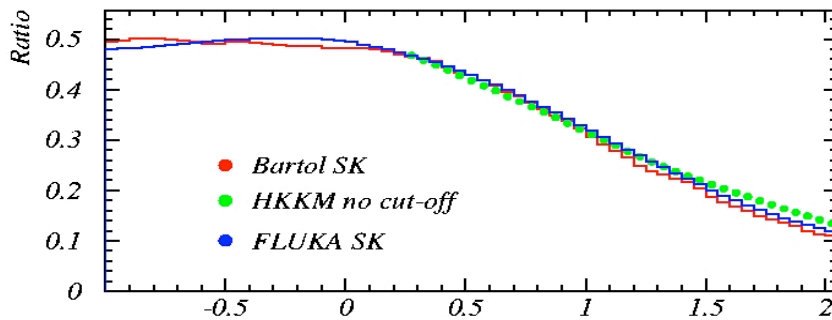
From full simulations:

Earth spherical symmetry  
+CR flux isotropy  
 $\Phi(E_\nu, \theta) = \Phi(E_\nu, \pi - \theta)$

$\theta_{\text{up}} = \pi - \theta_{\text{down}}$



$(\nu_e + 1/3 \bar{\nu}_e) / (\nu_\mu + 1/3 \bar{\nu}_\mu)$  Solar min SK



# Shape of the angular distribution of HE neutrinos

## Uncertainties:

1)  $\delta(V/H)/(V/H) \sim 0.12 \delta(K/\pi)/(K/\pi)$

$L_{dec} \sim 0.75 (E(\text{GeV})/100) \text{ km (K)}$

$L_{dec} \sim 5.6 (E(\text{GeV})/100) \text{ km } (\pi)$

almost all K decay up to high energies

(> 100 GeV) almost isotropic

competition of interaction/decay for  $\pi^\pm$ :

decay more easily at horizon for increasing energy  $\Rightarrow$  horizontal > vertical flux.

Flux from Kaons isotropic up to energies higher than pions

2)  $\delta(V/H)/(V/H) \sim 0.25 \delta\alpha$

uncertainty in the slope of primary flux

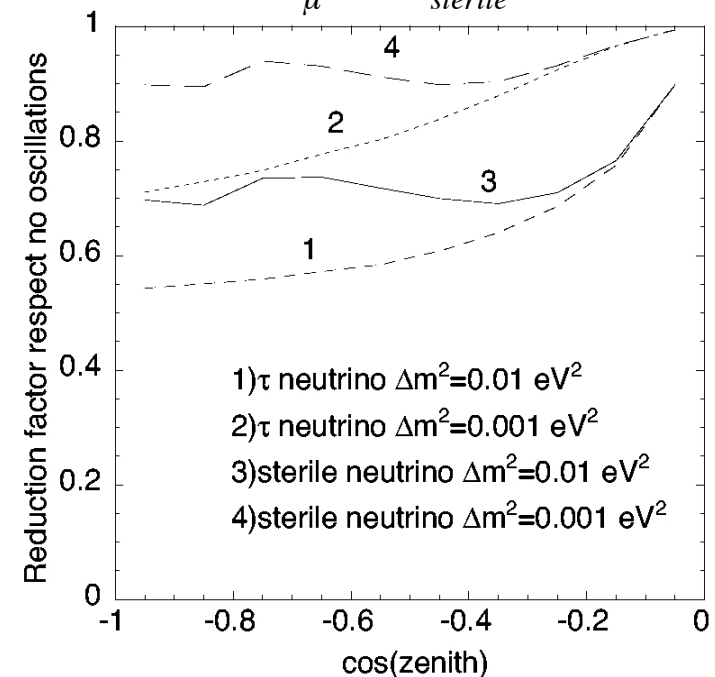
3) Seasonal variations

In quadrature:  $\sim 3\%$  error on  $V/H$

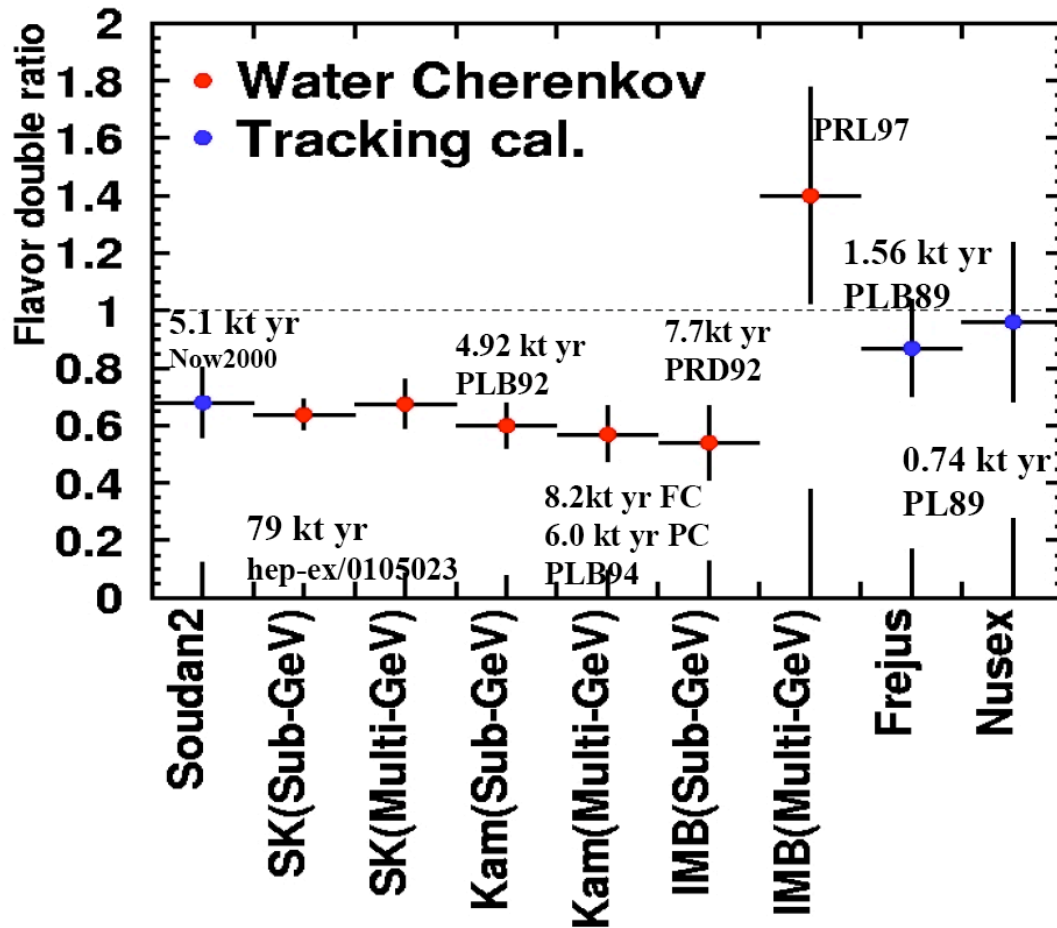
Useful for channel determination

$$\nu_\mu \rightarrow \nu_\tau$$

$$\nu_\mu \rightarrow \nu_{sterile}$$



# The atmospheric $\nu$ problem: measured flavor ratio



## Flavor ratio:

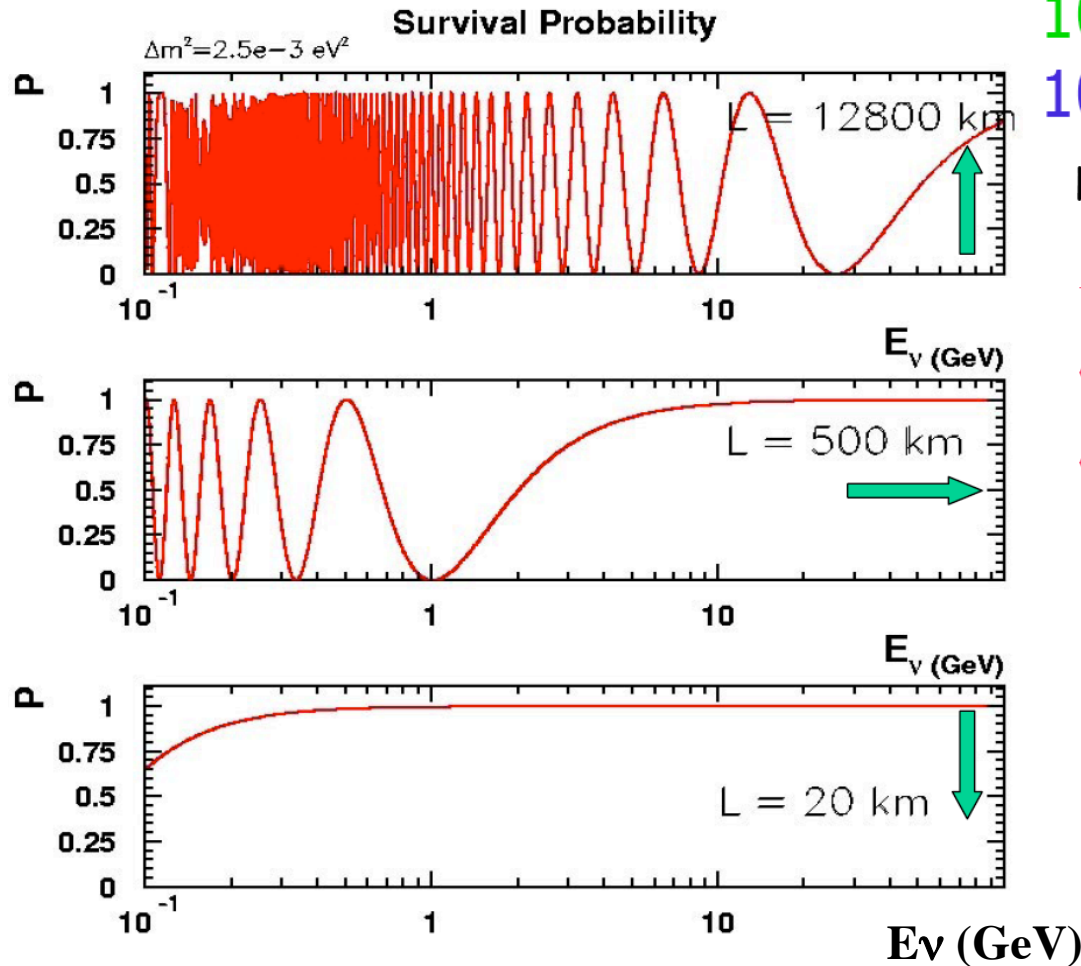
$$R = \frac{\left( \frac{\mu - \text{like}}{e - \text{like}} \right)_{DATA}}{\left( \frac{\mu - \text{like}}{e - \text{like}} \right)_{MC}}$$

$\mu$ -like (tracks): deficit

$e$ -like (showers): in agreement with expected

Kamiokande Multi-GeV:  
flavor ratio angular  
dependence as expected  
from oscillations

# Oscillations in Atmospheric Neutrinos



$100 \text{ MeV} \lesssim E_\nu \lesssim 10 \text{ TeV}$

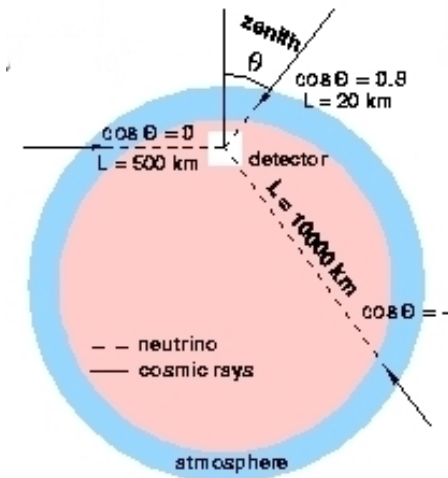
$10 \text{ km} \lesssim L \lesssim 10^4 \text{ km}$

For Sub-GeV and Multi-GeV

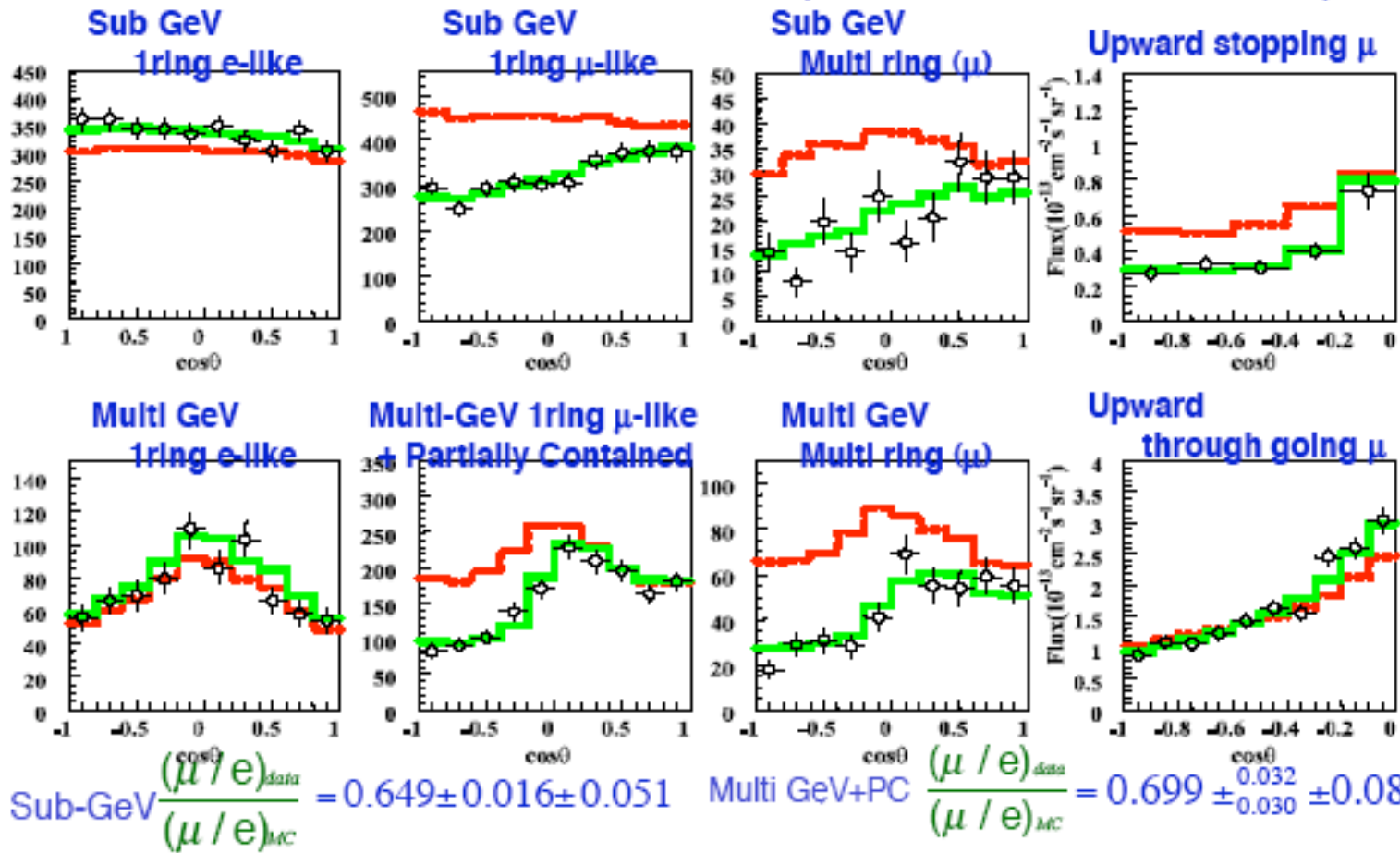
$$P(\nu_\ell \rightarrow \nu_\ell) = 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$

$$\langle P(L \leq 100 \text{ km}) \rangle \rightarrow 1$$

$$\langle P(L \geq 2000 \text{ km}) \rangle \rightarrow 1 - \frac{\sin^2 2\theta}{2} \Rightarrow \frac{1}{2}$$



# SK results



Observed  $A_{\mu\text{-like}}$   $9.5\sigma$  from no-oscillation prediction!

# MACRO at Laboratori Nazionali del Gran Sasso

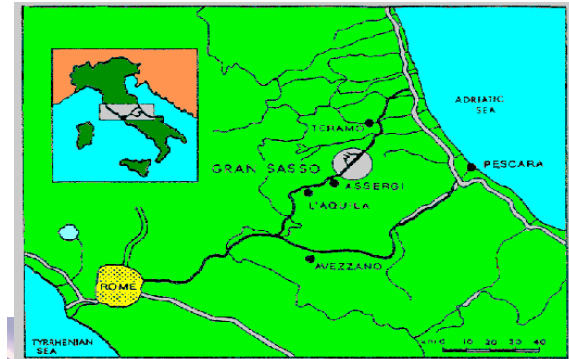
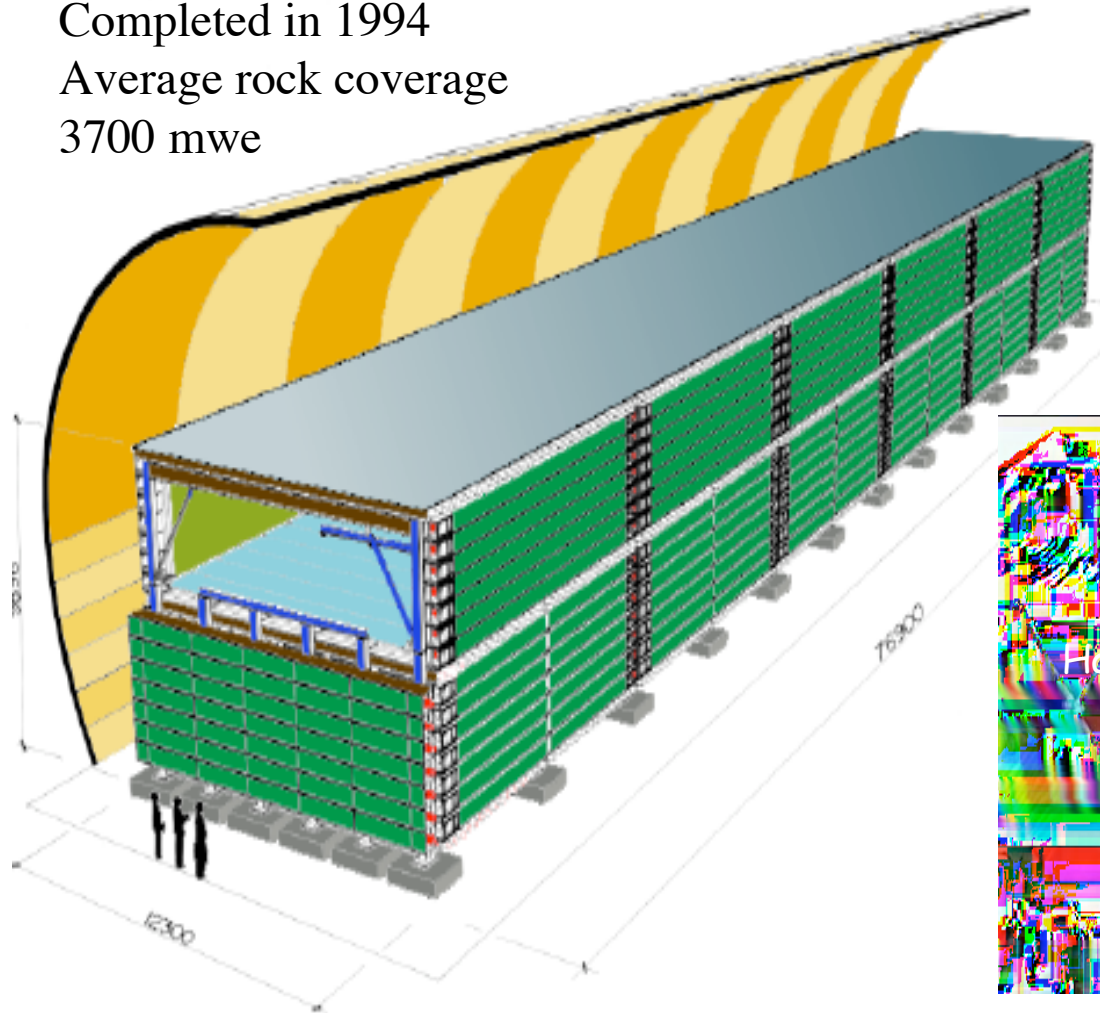
77 x 12.3 x 9 m<sup>3</sup>

Run time: 1989-2000

Completed in 1994

Average rock coverage

3700 mwe



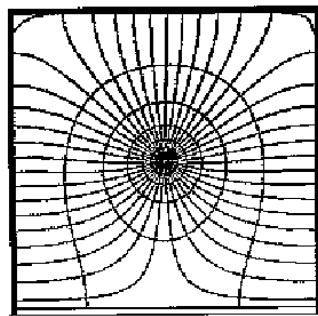
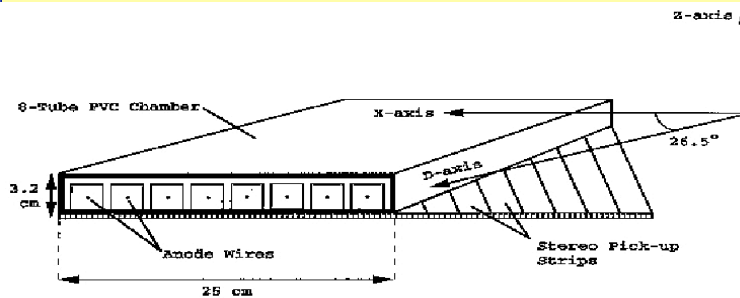
11 km-long highway tunnels



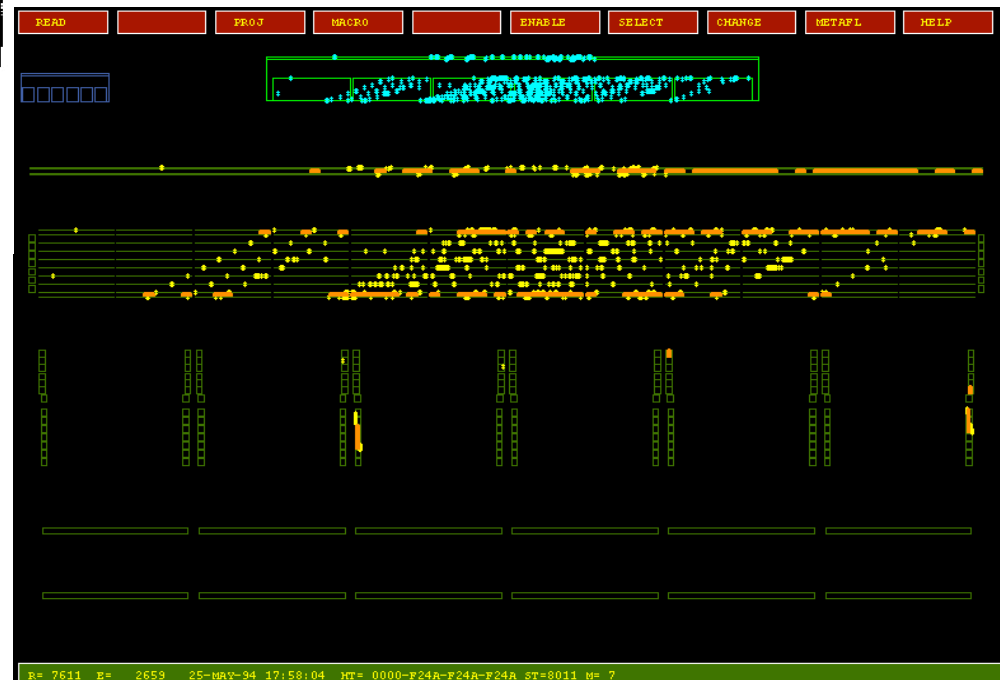
# Tracking with Streamer Tubes

## Streamer tube chambers:

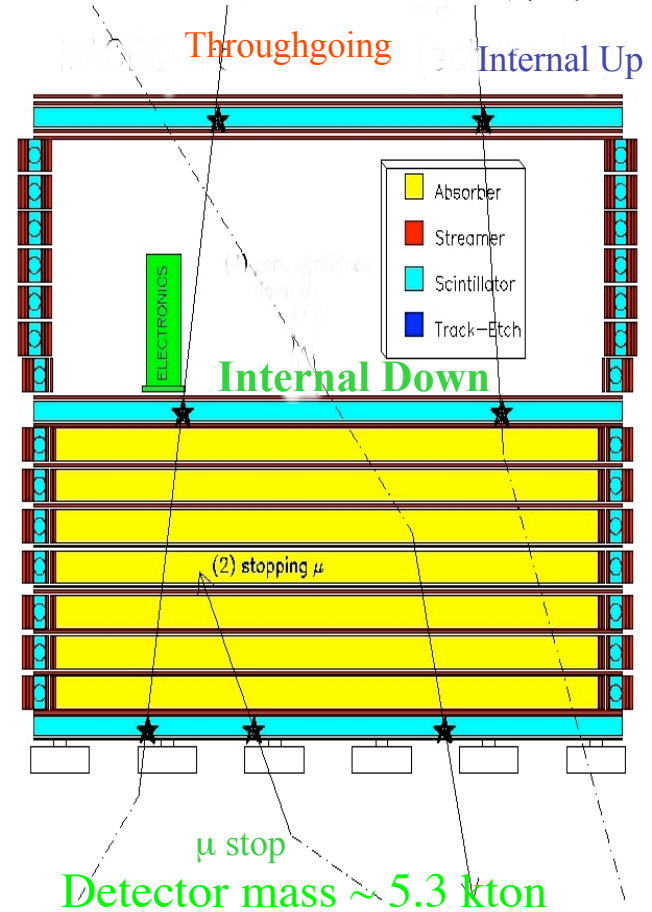
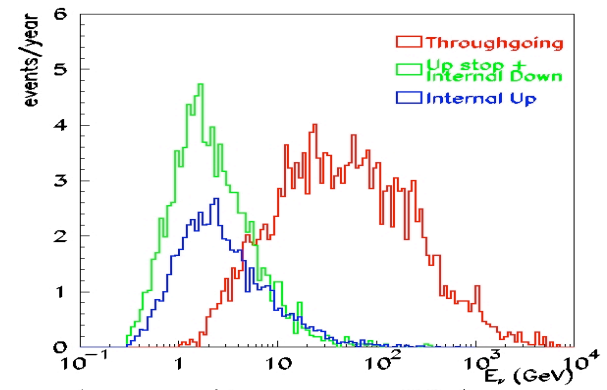
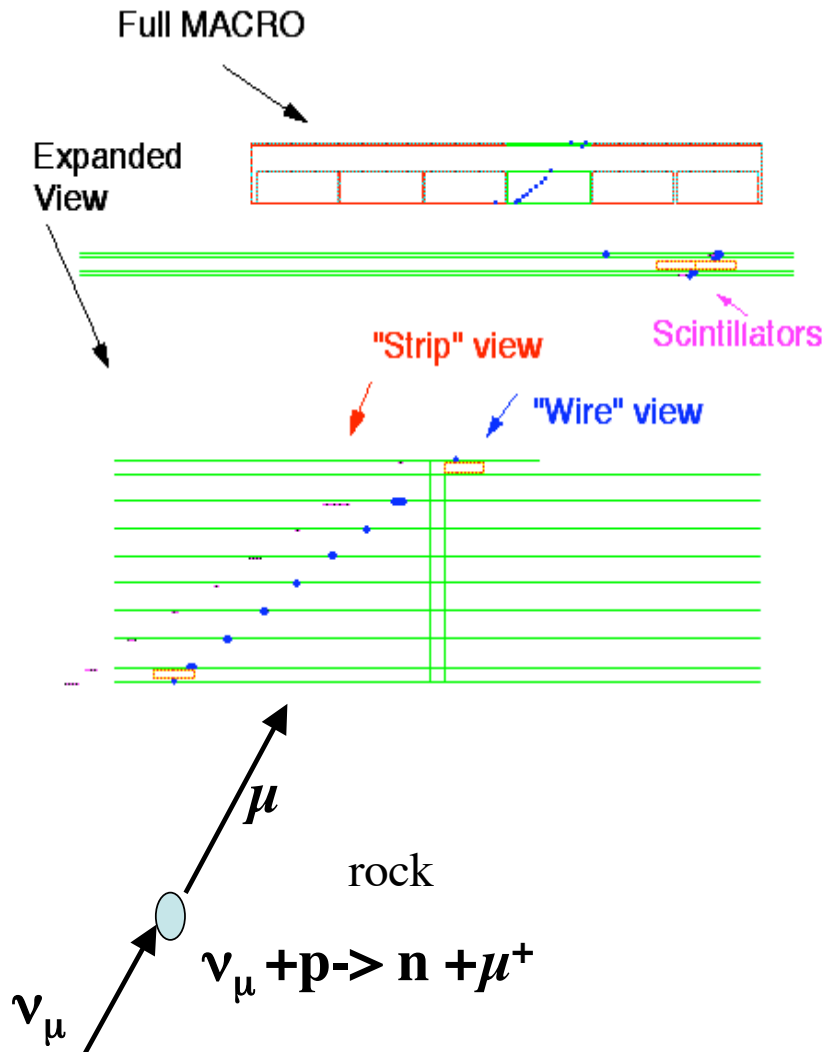
- 20000 m<sup>2</sup> of 3x3 cm<sup>2</sup> x 12 m cells with 100μm Cu-Be wire
- Gas mixture: He + n-pentane (27%)
- Pick-up strips for stereo track reconstruction
- **Intrinsic angular resolution ~0.2°**



Field configuration



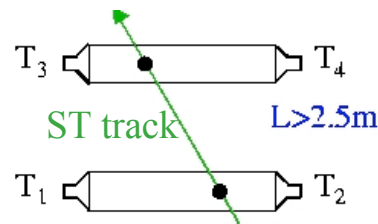
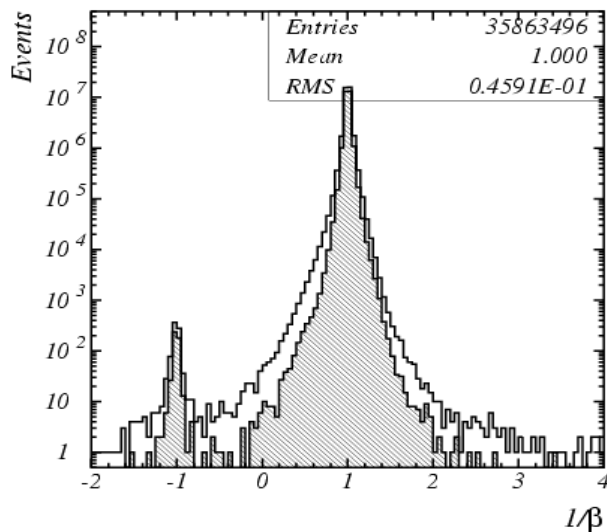
# Neutrino events



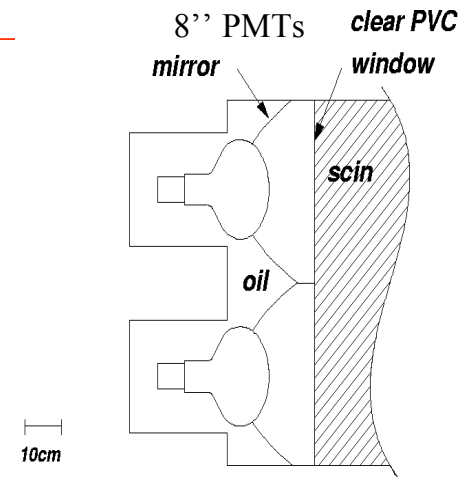
# Time Of Flight technique

## Scintillators:

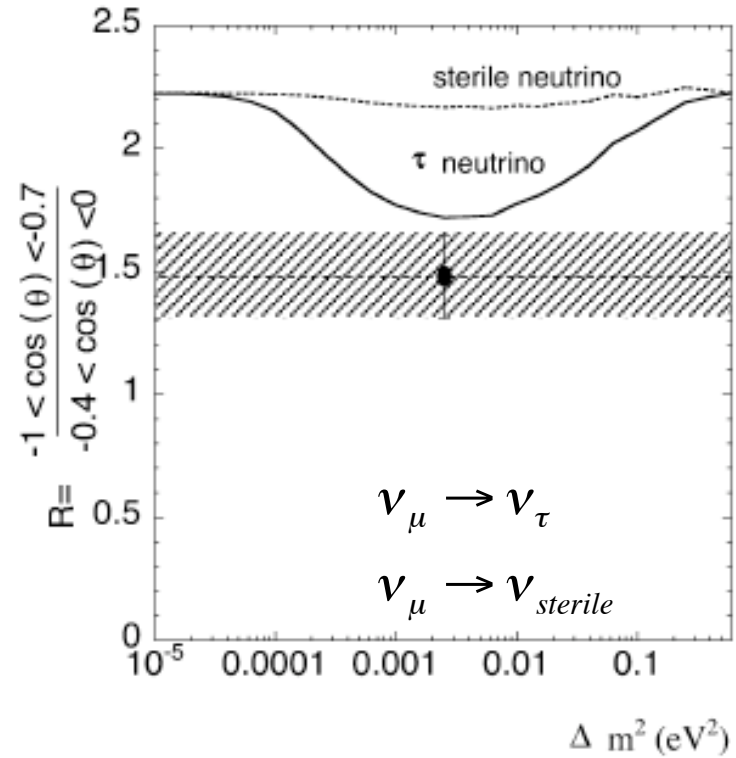
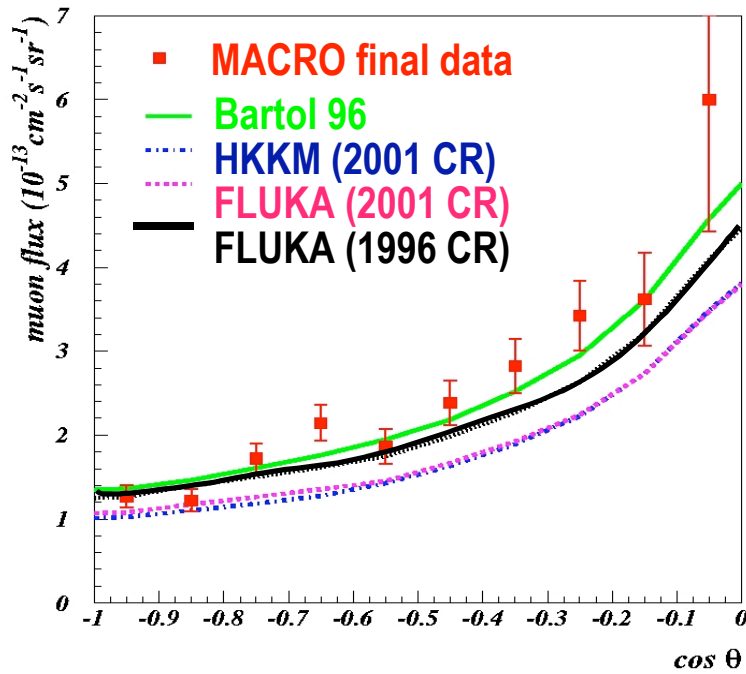
- 600 tons of liquid scintillator (mineral oil+ pseudocumene+ wls) in 12 m-long boxes;
- time resolution  $\sim 700$  ps;
- calibration tools: atmospheric  $\mu$ s, Light Emitting Diodes, laser light;
- 200 MHz Wave Form Digitizers for pulse shape analysis;



$$\frac{1}{\beta} = \frac{(T_1 + T_2 - T_3 - T_4)c}{2L} = \begin{cases} +1 \mu\downarrow \\ -1 \mu\uparrow \end{cases}$$

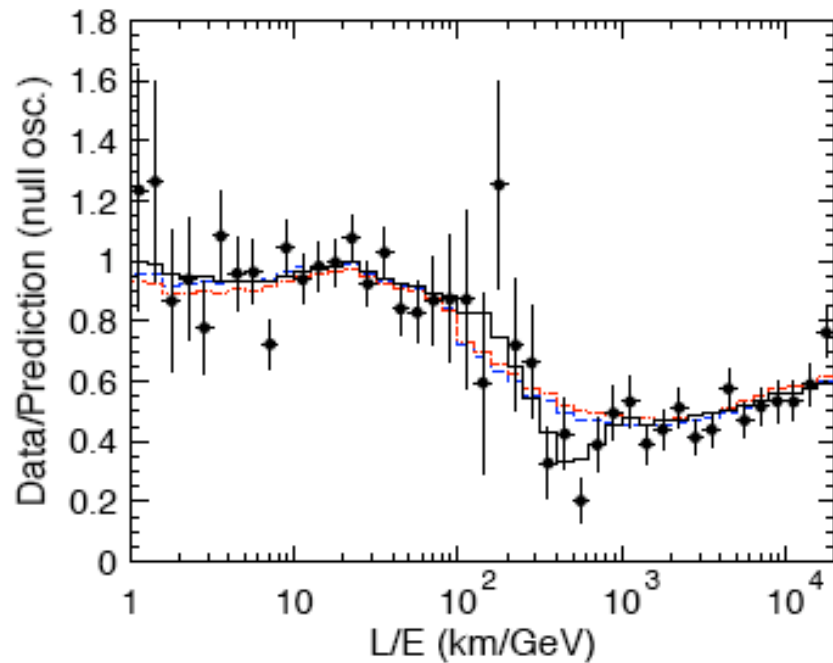


# Through going muons



Similar results for SK

# The oscillation pattern



SK  $\nu_\mu$  compared to  
predictions for  
oscillations

$\nu$ decay and decoherence

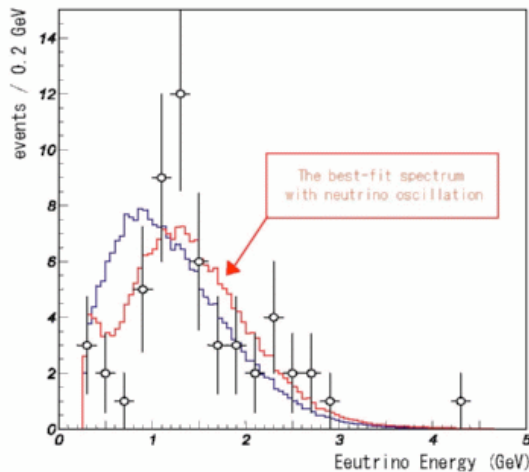
Hep-ex/0404034

The binning choice is critical

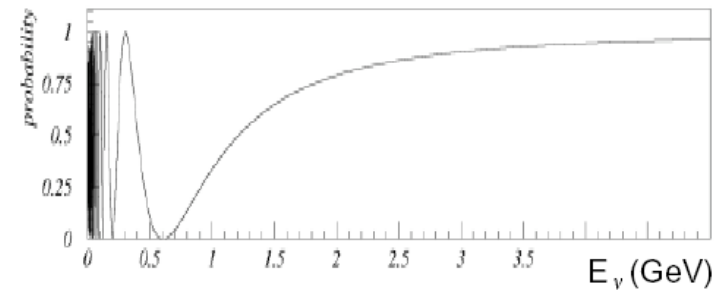
# K2K

KEK to Kamioka ( $L = 250$  km):  $\nu$  beam from 12 GeV protons accelerated by the KEK proton synchrotron on aluminium target

98% pure muon neutrinos with mean energy 1.3 GeV



Neutrino oscillation probability for  $\Delta m^2=0.003\text{eV}^2$  and at 250km.



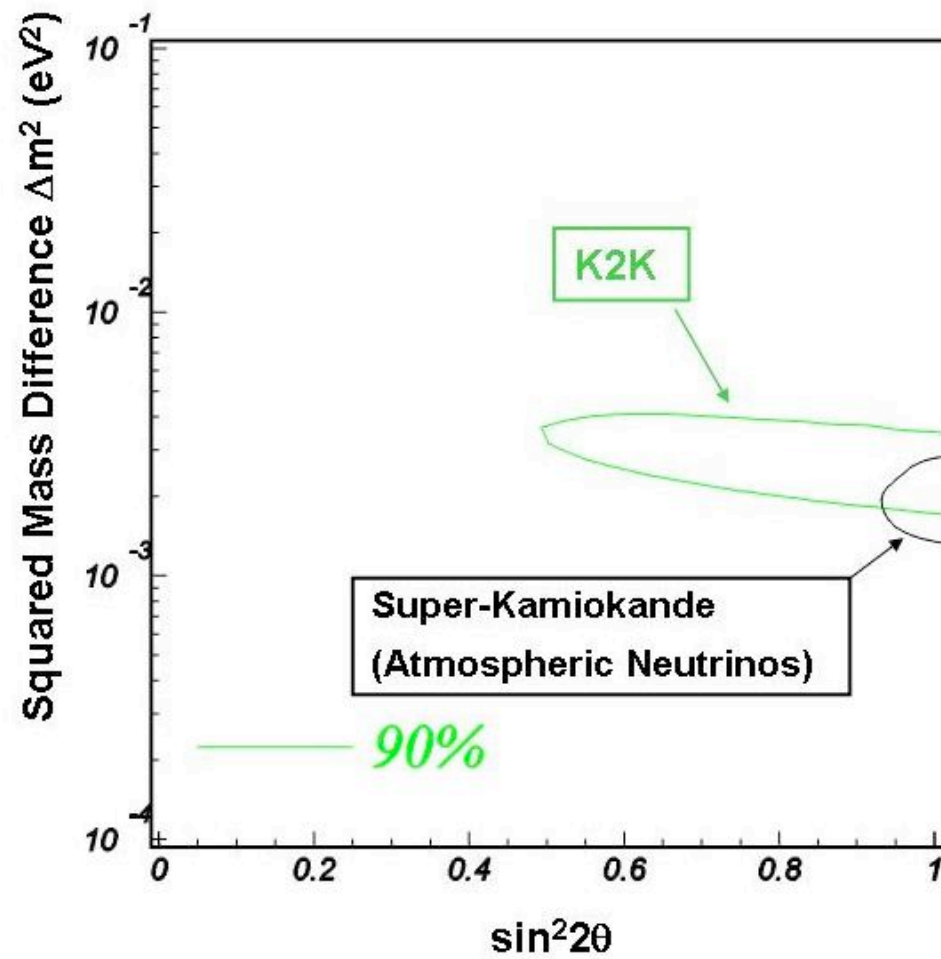
First results: PRL 90 (2003)041801 (data from Jun 1999-Jul 2001  $4.8 \cdot 10^{19}$  P.O.T.)

Events in SK in time coincidence inside  $1.5 \mu\text{s}$  (reduce atm  $\nu$  background in 22.5 kton SK fiducial volume to  $10^{-3}$ )

Measured: 56 (Expected:  $80.1^{+6.2}_{-5.4}$ ) and in Feb 2004 108 measured  $150.9 \pm 11$  predicted

Observables to infer oscillations: energy spectrum and normalization

# Atmospheric neutrino results



# Results for atmospheric neutrinos

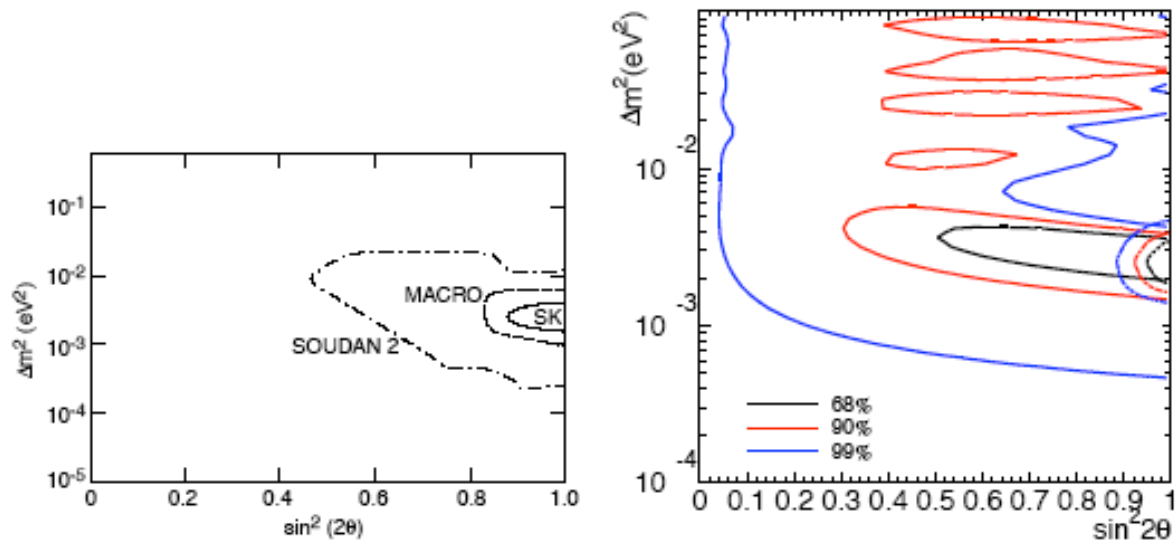


Figure 7: Left: 90% C.L. allowed region contours for  $\nu_\mu \rightarrow \nu_\tau$  oscillations obtained by the Super-Kamiokande, MACRO and Soudan-2 experiments [29]. Right: Allowed region contours for  $\nu_\mu$  disappearance obtained in the K2K experiment confronted with the allowed regions for  $\nu_\mu \rightarrow \nu_\tau$  oscillations obtained in the Super-Kamiokande experiment [151].



# Astrophysical Neutrino Oscillations

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

If  $\theta_{13}=0 \Rightarrow c_{13} = 1$  and  $s_{13}=0$  and  $\delta = 0$  and for normal hierarchy

$$U = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12}c_{23} & c_{12}c_{23} & s_{23} \\ s_{12}s_{23} & -c_{12}s_{23} & c_{23} \end{pmatrix} = \begin{pmatrix} c_{sol} & s_{sol} & 0 \\ -s_{sol}c_{atm} & c_{sol}c_{atm} & s_{atm} \\ s_{sol}s_{atm} & -c_{sol}s_{atm} & c_{atm} \end{pmatrix} \quad \begin{array}{l} \theta_{12} \approx 35 \text{ deg} \Rightarrow c_{sol} = 0.82 \text{ and } s_{sol} = 0.57 \\ \theta_{23} \approx 45 \text{ deg} \Rightarrow s_{atm} = c_{atm} = 1 \end{array}$$

$$U = \begin{pmatrix} c & s & 0 \\ -sx & cx & x \\ sx & -cx & x \end{pmatrix} = \begin{pmatrix} 0.82 & 0.57 & 0 \\ -0.4 & 0.58 & 1/\sqrt{2} \\ 0.4 & -0.58 & 1/\sqrt{2} \end{pmatrix}$$

# Astrophysical Neutrino Oscillations

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_{i,j} U_{\alpha,i} U_{\beta,i}^* U_{\alpha,j}^* U_{\beta,j} e^{-i\Delta m_{i,j}^2 L/2E}$$

$$\Delta m_{sol}^2 \approx 8 \cdot 10^{-5} eV^2$$

$$\Delta m_{atm}^2 \approx 2.5 \cdot 10^{-3} eV^2$$

If CP is conserved ( $\delta = 0$ ) this expression can be written as (U is a real matrix):

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_i |U_{\alpha,i}|^2 |U_{\beta,i}|^2 + 2 \sum_{i < j} U_{\alpha,i} U_{\beta,i} U_{\alpha,j} U_{\beta,j} \cos\left(\frac{\Delta m_{ij}^2 L}{2E}\right).$$

For astrophysical sources  $L > \text{kpc}$  and  $\Delta m^2 L/2E \gg 1$ .

Let's give a typical number to the phase factor for a source at a distance of 1 kpc emitting neutrinos of 10 TeV:

$$\varphi = \frac{1.27 L(\text{km}) \Delta m_{12}^2 (eV^2)}{E(\text{GeV})} \approx \frac{1.27 \cdot 3.1 \cdot 10^{16} \cdot 8 \cdot 10^{-5}}{10^4} \approx 3 \cdot 10^8$$

$$\varphi \sim 3 \cdot 10^8 \left( \frac{\Delta m^2}{8 \cdot 10^{-5} eV^2} \right) \left( \frac{D}{1 \text{ kpc}} \right) \left( \frac{10 \text{ TeV}}{E_\nu} \right)$$

➡ Let us assume that an experiment measures the events in a small energy bin so that we can consider approximately constant the energy E, then the oscillating term is given by **const x cosL**, so the term averages to zero. As a matter of fact, his value means that if the distance of the source (or eventually the energy) of the emitted neutrinos **is not known with a precision of  $10^8$  the oscillating term averages to zero**. Since sources have extensions of about 1 pc and their distance is  $> 1 \text{ kpc}$  their distance are known with precision 1/1000!! Also the energy is about 30% uncertain.

# Astrophysical Neutrino Oscillations

Hence for astrophysical sources  $L > \text{kpc}$ : the uncertainties on distances to sources and on their dimensions eliminate the effect of the phase term.

$$\longrightarrow P(\nu_\alpha \rightarrow \nu_\beta) = \sum_i |U_{\alpha,i}|^2 |U_{\beta,i}|^2$$

Eg.

$$P(\nu_e \rightarrow \nu_e) = \sum_i |U_{ei}|^2 |U_{ei}|^2 = |U_{e1}|^4 + |U_{e2}|^4 + |U_{e3}|^4 = 0.82^4 + 0.57^4 + 0 = 0.56$$

$$P(\nu_e \rightarrow \nu_\mu) = \sum_i |U_{ei}|^2 |U_{\mu i}|^2 = |U_{e1}|^2 |U_{\mu 1}|^2 + |U_{e2}|^2 |U_{\mu 2}|^2 + |U_{e3}|^2 |U_{\mu 1}|^2 = 0.82^2 \cdot 0.4^2 + 0.57^2 \cdot 0.58^2 + 0 = 0.22$$

$$P(\nu_e \rightarrow \nu_\tau) = \sum_i |U_{ei}|^2 |U_{\tau i}|^2 = |U_{e1}|^2 |U_{\tau 1}|^2 + |U_{e2}|^2 |U_{\tau 2}|^2 + |U_{e3}|^2 |U_{\tau 1}|^2 = 0.82^2 \cdot 0.4^2 + 0.57^2 \cdot 0.58^2 + 0 = 0.22$$

$\nu_\alpha \backslash \nu_\beta$	$\nu_e$	$\nu_\mu$	$\nu_\tau$
$\nu_e$	60%	20%	20%
$\nu_\mu$	20%	40%	40%
$\nu_\tau$	20%	40%	40%

# Astrophysical Neutrino Oscillations

$\nu_\alpha \backslash \nu_\beta$	$\nu_e$	$\nu_\mu$	$\nu_\tau$
$\nu_e$	60%	20%	20%
$\nu_\mu$	20%	40%	40%
$\nu_\tau$	20%	40%	40%

So for  $\nu_e:\nu_\mu:\nu_\tau = 1:2:0$  : for  $\nu_e$  60% comes from  $\nu_e$  survival and 2\*20% from 2  $\nu_\mu$  conversion =>100%. For 2  $\nu_\mu$  2\*40% =80% comes from  $\nu_\mu$  survival, then 20% from  $\nu_e$  that become  $\nu_\mu$  => 100%  
 $\nu_\tau$  will appear after 20% of  $\nu_e$  + 2\*40% of  $\nu_\mu$  = 100%

For n decay  $n \rightarrow p + e^- + \bar{\nu}_e$  from the Galactic Centre at L~10 kpc anti-electron neutrinos convert according the same matrix into 20% muon neutrinos and 20% tau neutrinos. And 60% electron neutrinos will remain such.

# Suggested Readings

- Textbooks

Halzen and Martin, Quarks and Leptons, An Introductory Course to Modern Physics, Wiley 1984

B.R. Martin and G. Shaw, Particle Physics, Manchester Physics Series (1987)

Perkins, Introduction to High Energy Physics, Addison-Wesley, 1987

L. Bergstrom and A. Goobar, Cosmology and Particle Astrophysics (2nd edition), Springer 2004 cap 6

Neutrino people do not miss

<http://www.nu.to.infn.it/>

<http://www.nu.to.infn.it/pap/0310238/> (neutrino mixing)

Feldamn and Cousins, Unified approach to the classical statistical analysis of small signals, Phys. Rev. D 57 (1998) 3873

[http://prola.aps.org/abstract/PRD/v57/i7/p3873\\_1](http://prola.aps.org/abstract/PRD/v57/i7/p3873_1)

[http://pdg.lbl.gov/2005/reviews/solarneu\\_s005313.pdf](http://pdg.lbl.gov/2005/reviews/solarneu_s005313.pdf) (solar neutrinos)